

Piano di Formazione Nazionale

Modulo 5 - “Laboratorio per scrivere un progetto di Digital Health in Horizon Europe”

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MARIA CRISTINA BRUGNOLI

I processi di valutazione

Award criteria

*Horizon Europe - Work programme 2023-2024
General Annexes*

D — Award criteria

Award criteria

If admissible and eligible, the proposals will be evaluated and ranked against the following **award criteria**²⁴, depending on the type of action:

	Excellence (The following aspects will be taken into account, to the extent that the proposed work corresponds to the description in the work programme)	Impact	Quality and efficiency of the implementation
Research and innovation actions (RIA) Innovation actions (IA)	<ul style="list-style-type: none"> - Clarity and pertinence of the project’s objectives, and the extent to which the proposed work is ambitious and goes beyond the state of the art. - Soundness of the 	<ul style="list-style-type: none"> - Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions from 	<ul style="list-style-type: none"> - Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall. - Capacity and role of

Criteri di valutazione

- Excellence
- Impact
- Implementation

1st stage: award criteria



Evaluation criteria (RIAs and IAs) → FIRST STAGE

EXCELLENCE

- ✓ Clarity and pertinence of the **project's objectives**, and the extent to which the proposed work is ambitious, and goes beyond the state-of-the-art.
- ✓ Soundness of the proposed **methodology**, including the underlying

*for first
stage:overall*

IMPACT

- ✓ Credibility of the **pathways** to achieve the expected **outcomes and impacts** specified in the work programme, and the likely scale and significance of the contributions due to the project.

QUALITY AND EFFICIENCY OF THE IMPLEMENTATION

Proposals aspects are assessed to the extent that the proposed work is within the scope of the work programme topic

2nd stage: award criteria

Same criteria as in H2020

Same three award criteria: '**Excellence**', '**Impact**' and '**Quality and efficiency of the implementation**'. Excellence only for ERC.

Adapted following lessons learnt

- The number of '**aspects to be taken into account**' have been **reduced**, ensuring that the same aspect is not assessed twice
- **Open Science** practices assessed as part of the scientific methodology in the excellence criterion
- **New approach to impact**: Key Impacts Pathways (KIPs)
- The assessment of the **quality of applicants** is assessed under 'implementation', rather than as a separate binary assessment of operational capacity
- Assessment of **management structures** has been removed.



research outputs and engagement of citizens, civil society and end users where appropriate.

dissemination and exploitation plan, including communication activities.

which the **consortium** as a whole brings together the necessary expertise.

Proposals aspects are assessed to the extent that the proposed work is within the scope of the work programme topic



H2020 vs HEU

Same criteria as in H2020

Same three award criteria: '**Excellence**', '**Impact**' and '**Quality and efficiency of the implementation**'. Excellence only for ERC.

Adapted following lessons learnt

- The number of '**aspects to be taken into account**' have been **reduced**, ensuring that the same aspect is not assessed twice
- **Open Science** practices assessed as part of the scientific methodology in the excellence criterion
- **New approach to impact**: Key Impacts Pathways (KIPs)
- The assessment of the **quality of applicants** is assessed under 'implementation', rather than as a separate binary assessment of operational capacity
- Assessment of **management structures** has been removed.

CITERION 1 – Excellence

- *Clarity and pertinence of the project's objectives, and the extent to which the proposed work is ambitious and goes beyond the state of the art.*
- *Soundness of the proposed methodology, including the underlying concepts, models, assumptions, inter-disciplinary approaches, appropriate consideration of the gender dimension in research and innovation content, and the quality of open science practices, including sharing and management of research outputs and engagement of citizens, civil society and end users where appropriate.*

Criterion 2 - Impact

- *Credibility of the pathways to achieve the expected outcomes and impacts specified in the work programme, and the likely scale and significance of the contributions from the project.*
- *Suitability and quality of the measures to maximise expected outcomes and impacts, as set out in the dissemination and exploitation plan, including communication activities.*

Criterion 3 - Implementation

- *Quality and effectiveness of the work plan, assessment of risks, and appropriateness of the effort assigned to work packages, and the resources overall.*
- *Capacity and role of each participant, and the extent to which the consortium as a whole brings together the necessary expertise.*

Struttura della proposta (H2020)

PARTE B - Struttura

COVER PAGE

1. EXCELLENCE

- 1.1 Objectives*
- 1.2 Relation to the work programme *
- 1.3 Concept and approach*
- 1.4 Ambition*

2. IMPACT

- 2.1 Expected impacts *
- 2.2 Measures to maximise impact
 - a) Dissemination and exploitation of results
 - b) Communication activities

3. IMPLEMENTATION

- 3.1 Work plan – Work packages, deliverables and milestones
- 3.2 Management structure and procedures
- 3.3 Consortium as a whole
- 3.4 Resources to be committed

4. Members of the consortium

5. Ethics and Security

LA PARTE B È
STRUTTURATA
SULLA BASE
DEI CRITERI DI
VALUTAZIONE



Struttura in HEU

New features in HE proposals PART B

1. Excellence

- 1.1 Objectives
- 1.2 Relation to the work programme
- 1.3 Concept and methodology
- 1.4 Ambition

2. Impact

- 2.1 Expected impacts
- 2.2 Misure to maximise impact
 - a) Dissemination and exploitation of results
 - b) Communication activities

3. Quality and efficiency of the implementation

- 3.1 Work plan – work packages, deliverables
- 3.2 Management structure, milestones and procedures
- 3.3 Consortium as a whole
- 3.4 Resources to be committed

1. Excellence

- 1.1 Objectives and ambition *[e.g. 4 pages]*
- 1.2 Methodology *[e.g. 15 pages]*

2. Impact

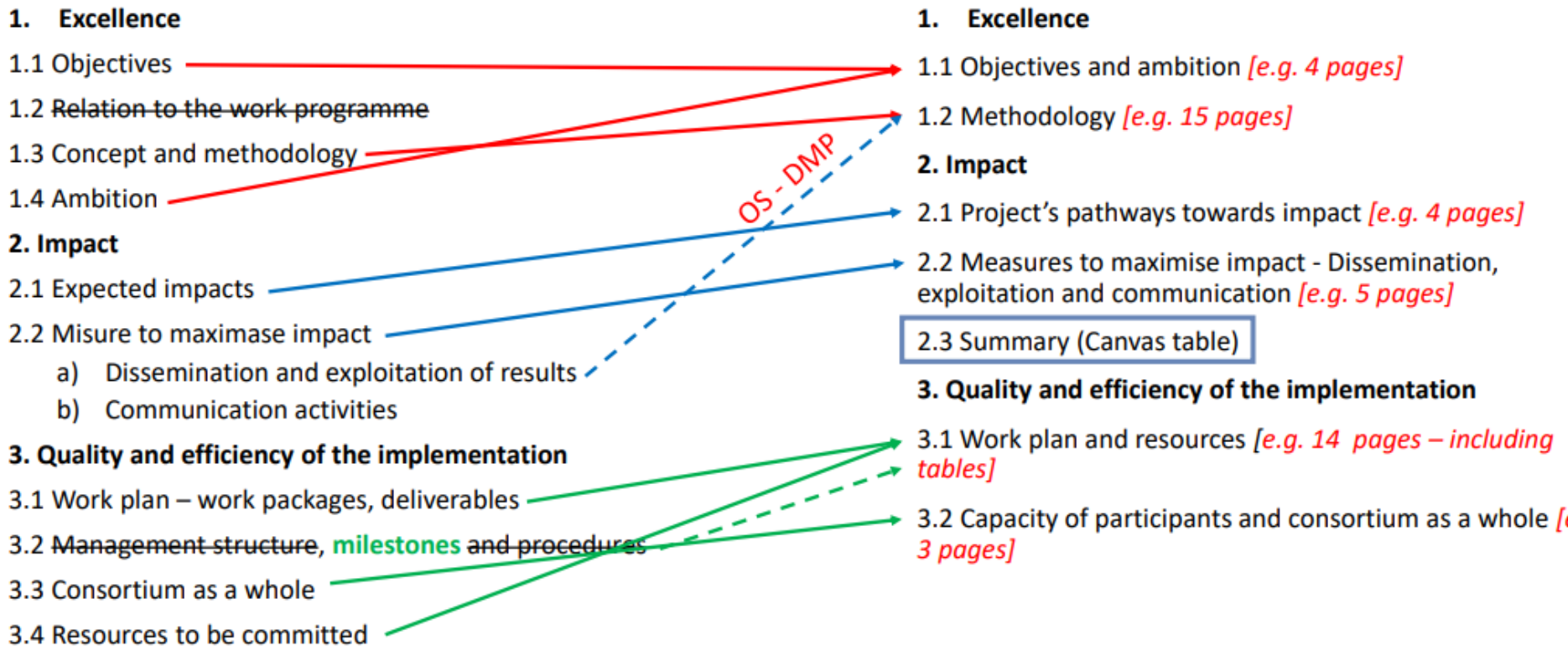
- 2.1 Project's pathways towards impact *[e.g. 4 pages]*
- 2.2 Measures to maximise impact - Dissemination, exploitation and communication *[e.g. 5 pages]*

2.3 Summary (Canvas table)

3. Quality and efficiency of the implementation

- 3.1 Work plan and resources *[e.g. 14 pages – including tables]*
- 3.2 Capacity of participants and consortium as a whole *[e.g. 3 pages]*

OS - DMP



Punteggi

INTERPRETAZIONE DEI PUNTEGGI

0

The proposal **fails to address the criterion** or cannot be assessed due to missing or incomplete information.

1

Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.

2

Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.

3

Good. The proposal addresses the criterion well, but a number of shortcomings are present.

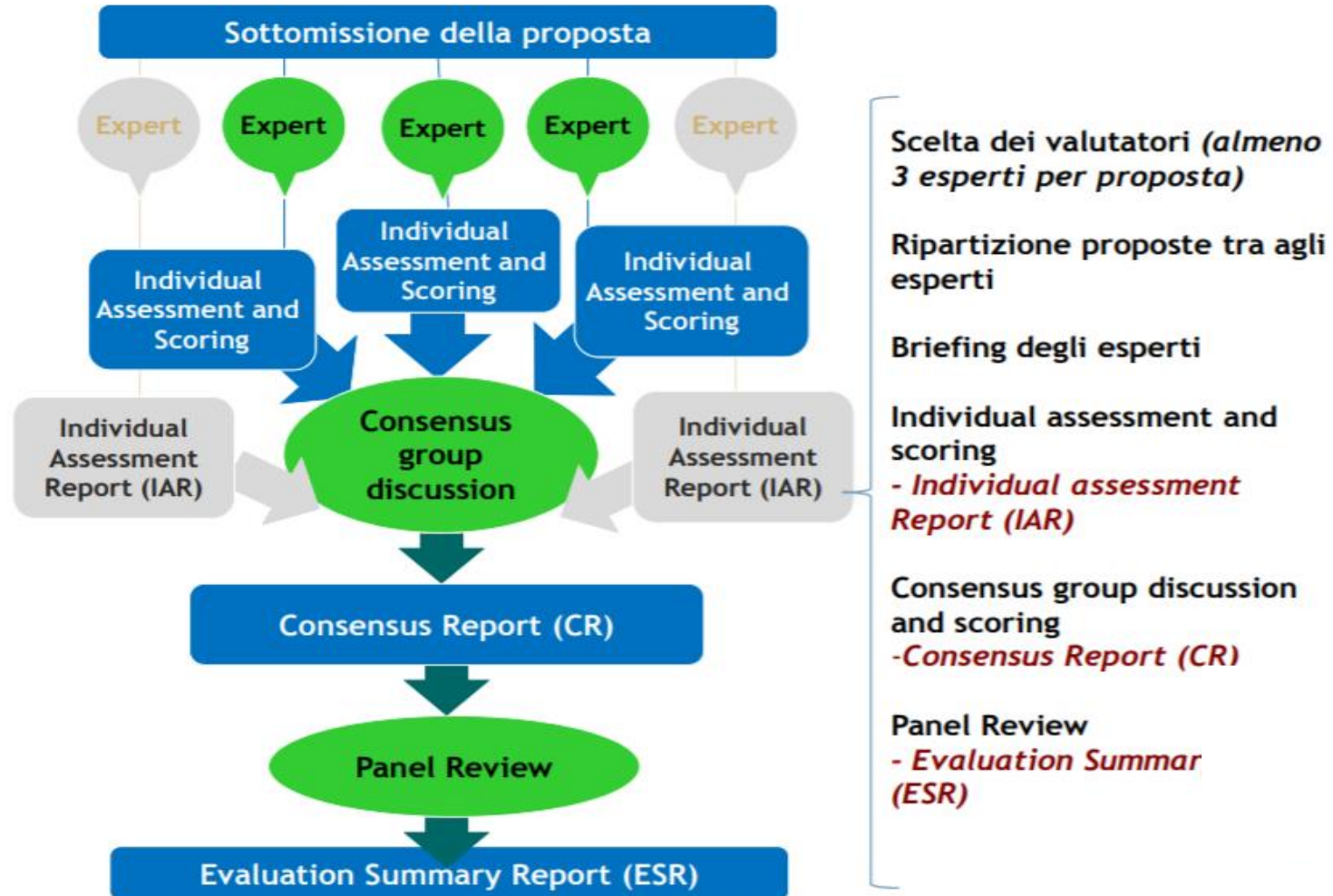
4

Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.

5

Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.

Processo di Valutazione



Receipt of proposals

Admissibility/eligibility check

Allocation of proposals to evaluators

Individual evaluation

Experts assess proposals **individually**.

Minimum of three experts per proposal (but often more than three).

Consensus group

All individual experts discuss together to agree on a **common position**, including comments and scores for each proposal.

Panel review

The panel of experts reach an **agreement** on the scores and comments for all proposals within a call, checking **consistency across the evaluations**

if necessary, resolve cases where evaluators were unable to agree.

Rank the proposals with the same score

Ranking

- 1) Proposals that **address aspects of the call that have not otherwise been covered** by more highly ranked proposals will be considered to have the highest priority.
- 2) The proposals identified under 1), if any, will themselves be prioritised according to the scores they **have been awarded for 'Excellence'**. When these scores are equal, **priority will be based on scores for 'Impact'**. In the case of 'Innovation actions', priority will be given to the score for 'Impact', followed by that for 'Excellence'.
- 3) If necessary, the **gender balance** among the researchers named in the researchers table in the proposal, will be used as a factor for prioritisation.
- 4) If necessary, any further prioritisation will be based on **geographical diversity**, defined as the number of Member States or Associated Countries represented in the proposal, not otherwise receiving funds from projects higher up the ranking list (and if equal in number, then by budget).
- 5) If a distinction still cannot be made, the panel may decide to further prioritise by considering **other factors related to the objectives of the call, or to Horizon Europe in general**. These may include, for example, enhancing the quality of the project portfolio through synergies between projects or, where relevant and feasible, involving SMEs. These factors will be documented in the panel report.
- 6) The method described in 1), 2), 3) and 4) will then be applied to the remaining equally ranked proposals in the group.