



# **3rd Health Programme 2014-2020**

## **2016 Calls for proposals for PROJECTS (PJ)**

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# What is a project?

A particular method of organising work that differs from standard business operational activities:

- **Different from *routine* activities:**  
does not involve the application of implicit or explicit procedures existing in the organisation to regulate day-to-day work
- **Different from *improvisation*:**  
more effective, but also more time-consuming  
entails a level of uncertainty or risk
- **Particularly useful to introduce innovations, address new challenges or find solutions for problems for which the existing procedures and routines do not accommodate**

## What does the 3rd Health Programme say regarding co-funding of projects?

- Grant may be awarded to fund:
  - actions having a clear Union added value
  - In a priority area included in the annual work programme( s)
  - co- financed by other legally established organisations:
    - public sector bodies: research and health institutions, universities and higher education establishments
    - non-governmental bodies
    - private bodies

# Calls for proposals for projects - 2016

- Call opened: 4 March 2016
- **Calls close: 2 June 2016**
- Electronic submission system
- 10.5 million Euros available for Projects

## **Project co-funding**

- 60% of the total eligible cost
- 80% - if exceptional utility

**Obligation for each applicant to contribute equally to the project's budget**

**The minimum required percentage of own contribution applies at both project and partner level**

# Exceptional utility

Co-funding may be up to 80%

3 criteria:

- At least 60 % of the total budget of the action is used to fund staff
- At least 30% of the budget of the proposed action is allocated to Member States whose gross national income (GNI) per inhabitant is less than 90 % of the Union average.
- The proposal demonstrates excellence in furthering public health in Europe and has a very high EU added value.

It is your responsibility to ensure that the proposals complies with criteria 1 & 2

# Topics open for submissions for project proposals in 2016

[http://ec.europa.eu/health/programme/docs/wp2016\\_annex\\_en.pdf](http://ec.europa.eu/health/programme/docs/wp2016_annex_en.pdf)

## Call for Projects (PJ) 2016

- Knowledge and best practices on reducing underage drinking and heavy episodic drinking € 1 200 000
- **Knowledge and best practises to on measures to prevent illicit drug use** € 600 000
- Addressing the chronic disease challenge € 2 500 000
- **European Reference Networks** € 2 500 000
- Rare diseases - support for new registries € 1 200 000
- **Donor selection and protection** € 550 000
- Best practices in care provision for vulnerable migrants and refugees – projects € 4 500 000



# Electronic submission of project proposals

- Via participant portal
- Find a call -> each of the calls is published separately
- Administrative part = part A
- Technical part = part B

## Part A

- Statements to be accepted e.g. on financial viability
- Financial self-check to be performed by each applicant
- If "weak" -> do not be discouraged to send a proposal
- Other statements on exclusion criteria, operational capacity etc.
- Overview budget per partner – need to establish this before filling part A !

## Technical Part = Part B

- Maximum 80 pages – free text, tables & charts
- 50 pages for the technical description
- 30 pages for the budget tables
  - **One table per partner**
  - **Overview table with person months per deliverable**

# Technical Part of the proposal

1. Problem analysis including evidence base
2. General objective of the project
  - 2.1. Specific objective(s) of the project
3. Target groups
4. Political Relevance
  - 4.1. Contribution to the EU Health Programme and its annual work plan
  - 4.2. Adequacy of the project with social, cultural and political context
  - 4.3. Social and economic impact
  - 4.4. Pertinence of geographical coverage
  - 4.5. Strategic relevance and EU added value and innovation

# Technical Part of the proposal

5. Methods and means

6. Expected outcomes

7. Workpackages

- **7.1. Overview on workpackages**
- **7.2. Work package descriptions**
- **7.3. Timetable or Gantt Chart**

# Technical Part of the proposal

## 8. Milestones and Deliverables

**Note: deliverables at M18 (or earlier) needed !**  
**No limit on how many deliverables !**

## 9. Project management structure

- **9.1. Quality of the partnership**
- **9.2. Capacity of the staff**
- **9.3. External and internal risk analysis and contingency planning**
- **9.4. Financial management**



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# Technical Part of the proposal

## 10. BUDGET

- 10.1 Content description and justification
  - 10.2 Summary of staff effort
  - 10.3 Detailed budget
- Each partner should prepare a budget table & submit to coordinator

Applicant Number/ Short Name			
(If affiliated entity: Affiliated to which Applicant number/Short name)			
(A) Direct personnel costs	Persons	Total Personnel month	Total Costs (€) of (A)
	Justification		
(B) Direct costs of sub-contracting (please repeat line for each subcontract foreseen)	Costs (€)	Task(s)/Justification	
Total Costs (€) of (B)			
	Justification		
(C) Other direct costs			
(C.1) Travel	Costs (€)	Justification	
(C.2) Equipment	Costs (€)	Justification	
(C.3) Other goods and services	Costs (€)	Justification	
Total Costs (€) of (C)			
(D) Indirect Costs	Total Costs (€)		
(Max. 7% on A, B and C)			
Total estimated eligible costs			

# Technical Part of the proposal

11. Previous and current grants relevant to the programme (limited to the last 3 years)
12. Current applications relevant to the programme
13. Exceptional Utility
14. Collaborating stakeholders - table



## Technical part – general points

- Follow the template, but write your own proposal
- Technical description and budget in the same file !
- Have the proposal peer reviewed with the "self-evaluation form"
- Proposal must be submitted in PDF format
- Proposal can be changed / resubmitted before the deadline

**It is the coordinator's responsibility to up-load the correct file at the time of the deadline!**

# Annex

- If an applicant requests  $> 750\,000\text{€}$  EU co-funding, an audit report must be attached

# Criteria to evaluate the proposals

- Eligibility criteria
- Exclusion criteria
- Selection criteria
- Award criteria

# Eligibility criteria

- Applicants must be legally established organisations.
- Only applicants from the 28 EU Member States plus Norway and Iceland can apply.
- A project proposal must be submitted by at least 3 different legal entities from 3 different eligible countries.
- The only eligible activities are those listed in section 2.1 "Grants for projects" in the work programme 2014.
- The co-funding is meant for a future project. Running projects cannot be supported.

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# Exclusion criteria

## Exclusion from participation:

- being bankrupt,
- convicted of an offence concerning professional conduct,
- guilty of grave professional misconduct
- not in compliance with their obligations relating to the payment of taxes

## Exclusion from granting procedure:

- conflict of interest
- guilty of misrepresenting the information required by the Agency

# Selection criteria

## Financial viability

- Not if < 100 000€ EU co-funding or if public
- If > 750 000€ -> attach audit report
- Self-check must be performed

If the self-check result is "weak" do not be discouraged from applying!

## Operational capacity

- Self-declaration
- Provide information on capacity

# Award criteria-I

	Maximum points	Threshold	Threshold in % of max. points
1 – policy relevance	10	7	70%
2 – technical quality	10	6	60%
3 – management quality	10	6	60%
4 – budget adequacy	10	6	60%
<b>TOTAL</b>	<b>40</b>	<b>26</b>	

# Award criterion 1 - Policy and contextual relevance

## Sub-criteria:

- Relevance of the contribution to meeting the objectives and priorities defined in the annual work plan of the 3rd Health Programme, under which the call for proposals is published,
- Added value at EU level in the field of public health,
- Pertinence of the geographical coverage of the proposals is high,
- Consideration of the social, cultural and political context.



## Award criterion 2 - Technical quality

### Sub-criteria:

- Quality of the evidence base,
- Quality of the content,
- Innovative nature, technical complementarity and avoidance of duplication of other existing actions at EU level,
- Quality of the evaluation strategy,
- Quality of the dissemination strategy and plan.

# Award criterion 3 - Management quality

## Sub-criteria:

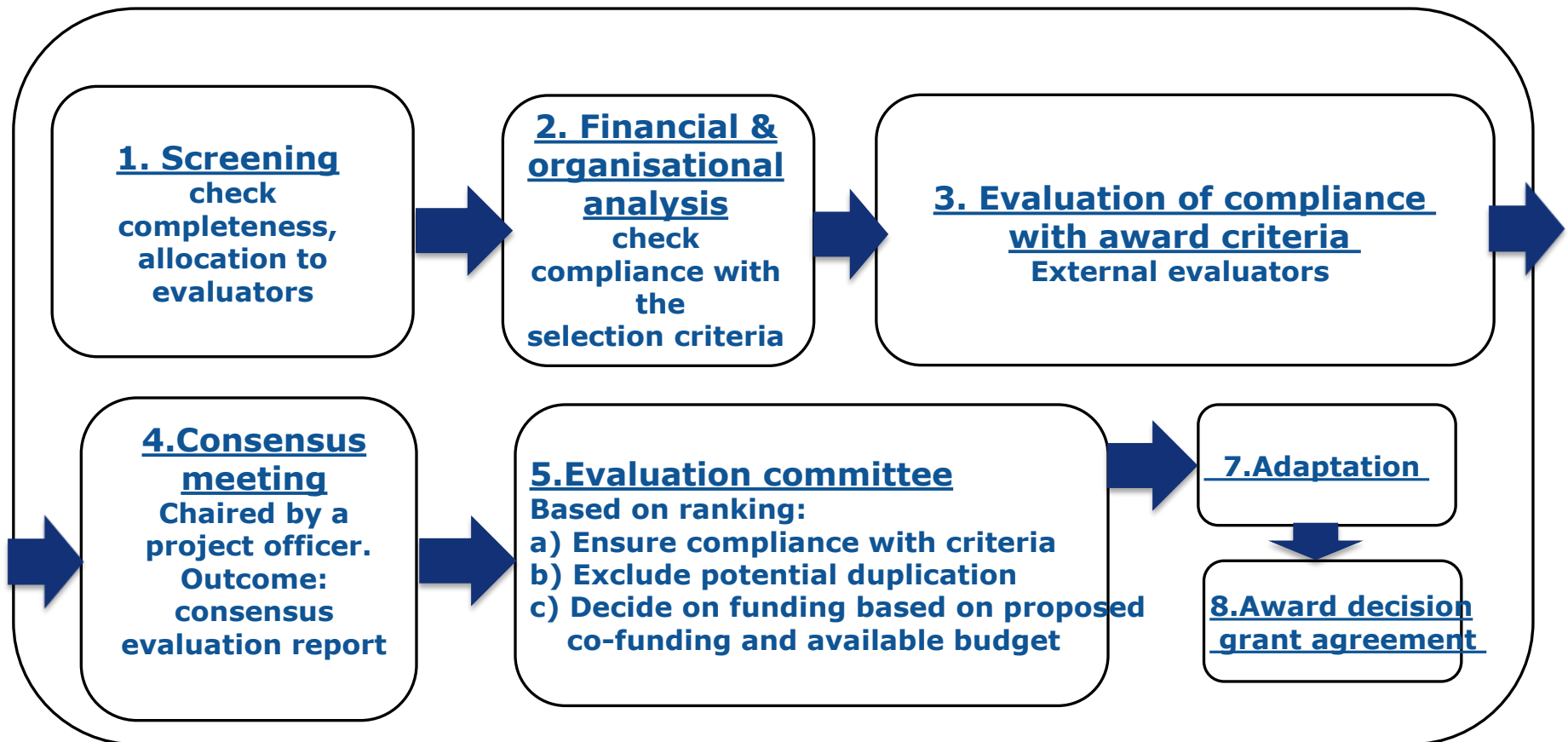
- Quality of the planning and appropriate task distribution to implement the project,
- Relevance of the organisational arrangements, including financial management,
- Quality of the partnership.

# Award criterion 4 - Overall and detailed budget

## Sub-criteria:

- Relevance and appropriateness of the budget,
- Consistency of the estimated cost per applicant and the corresponding activities,,
- Realistic estimation of person months per work package
- The budget allocated for evaluation and dissemination is reasonable.

# Evaluation of proposals



# Electronic Grant preparation

- Grant preparation online (ping-pong principle between agency and beneficiaries)
- Electronic signature by LEAR (Legal entity authorised representative) – no paper copies of grant agreement
- Partners join the agreement after signature
- Monitoring and reporting online:  
Deliverables, Payment requests, Reports, etc.

# New Grant Agreement

- New model grant agreement aligned to H2020 procedures
- **Interim Payment** instead of 2<sup>nd</sup> pre-financing
- Simplified cost structure (Staff, Other Costs, Subcontracting), **budget shifts without amendment**
- **All partners sign the grant agreement**
- Consortium agreement mandatory



Read the Grant Agreement (GA) !

**Thank you very much for  
your attention!**

Any questions?

<http://ec.europa.eu/chafea/health/index.html>